

# An Efficient Perception-Based Adaptive Color to Gray Transformation



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# Outline



- **Aspects of Color to Gray transformation**
- **Previous work**
- **A new CIE Lab based local approach**
- **The COLOROID system**
- **Gradient inconsistency correction**
- **Conclusion, future work**



# Some Aspects of Color to Gray



- **1. Dimension reduction 3D to 1D**
  - Information loss is unavoidable
  - The appearance of loss depends on the method
- **2. Color to Gray**
  - Artificial, **missing in the human visual system**
  - Which gradient attributes can be perceptually based?
  - Luminance vs. chrominance
- **3. Display has less than [0,100] Y-range**
  - A color image has **over 200** color differences
  - Black and white has to be conserved as min-max?
  - Some e.g. dark blue colors 'look darker than black'
    - Simultaneous contrasts, color appearance

# The original color image

## Mapping to 3D display-gamut





# Dimension reduction to 2D

## Mapping to Hue-Plane of 580nm



# Dimension reduction to 1D

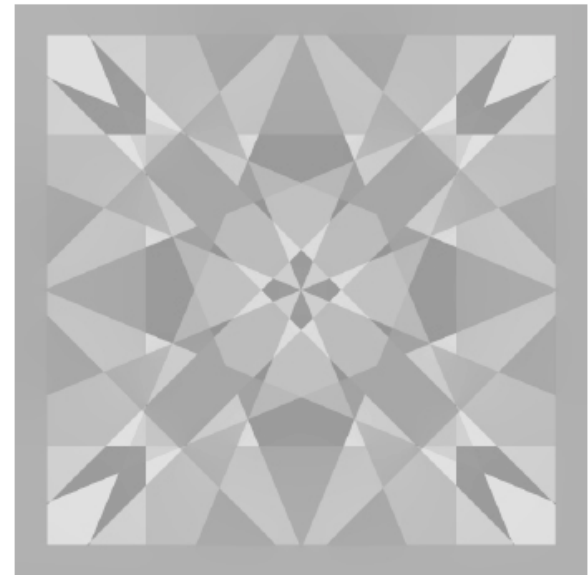
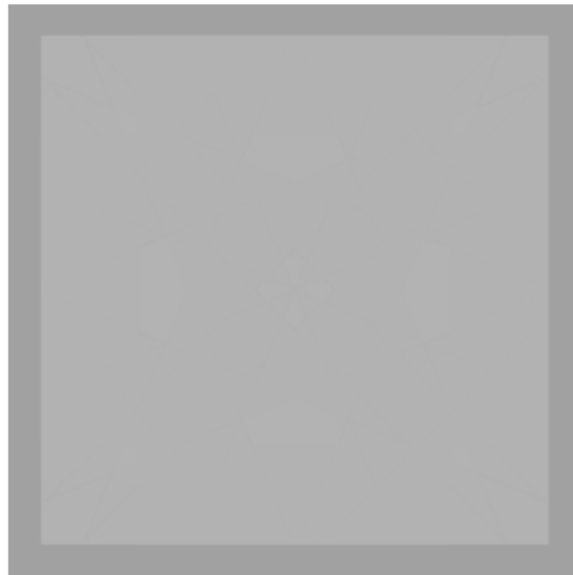
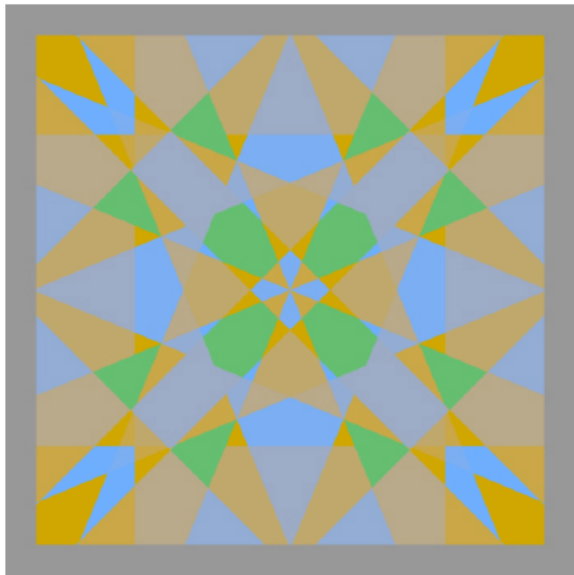
## Mapping to the neutral axis



# When the „Convert to Grayscale” (to CIE-Y) kills all the details

## ■ A test image with const. luminance

- Widely used CIE-Y luminance conversion
- Adaptive method based on reproduction of local changes





# Previous work



- **Global vs. local approach**
- **Global**
  - speed, naturalness, luminance range
  - the same luminance for the same rgb triplets
- **Local**
  - local changes, contradictions, computational costs
  - different luminance for the originally same rgb triplets
- Some local changes **disappear** both due to global and adaptive methods

# Previous work



- [Bala, Eschbach 04]
  - local enhancement via high-frequency chrominance information in the luminance
  - Image enhancement, possible artifacts
- [Grundland, Dogson 05]
  - *global* decolorize algorithm for contrast enhancing
  - expressing grayscale as continuous, image dependent, piecewise linear mapping

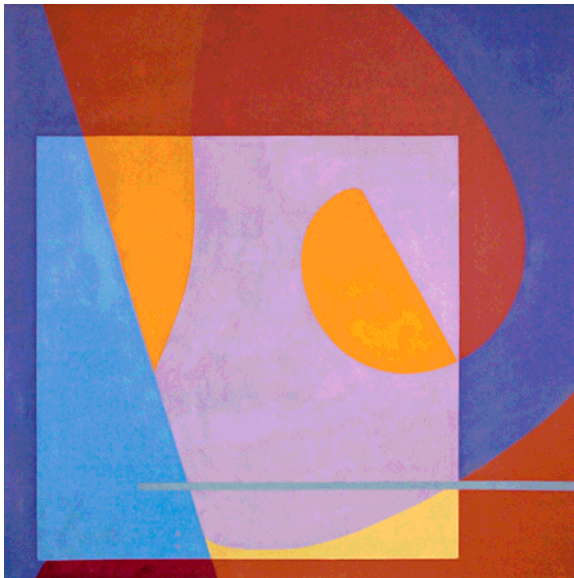
# Previous work



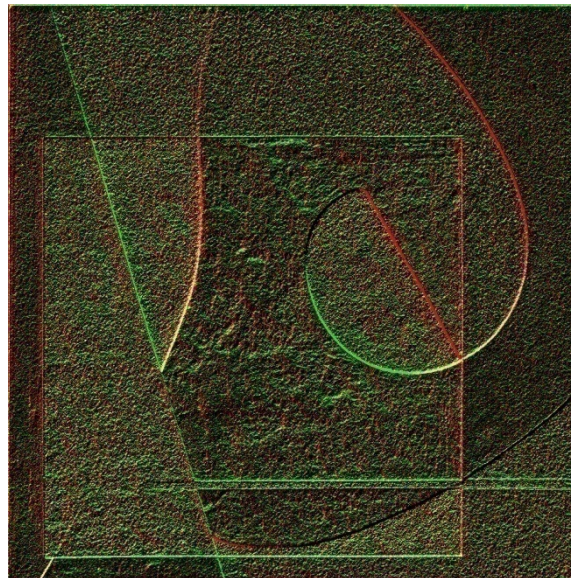
- [Gooch et al. 05]
  - Color2Gray algorithm based on *local* contrasts
  - iterative minimization of an objective function
  - $O(N^4)$
- [Rasche et al. 05]
  - *global* technique maintaining luminance consistency
  - constrained multidimensional scaling with color quantization  
→ prone to quantization artifacts
  - enormous computational demands (depends on the number of colors)

# Our Approach

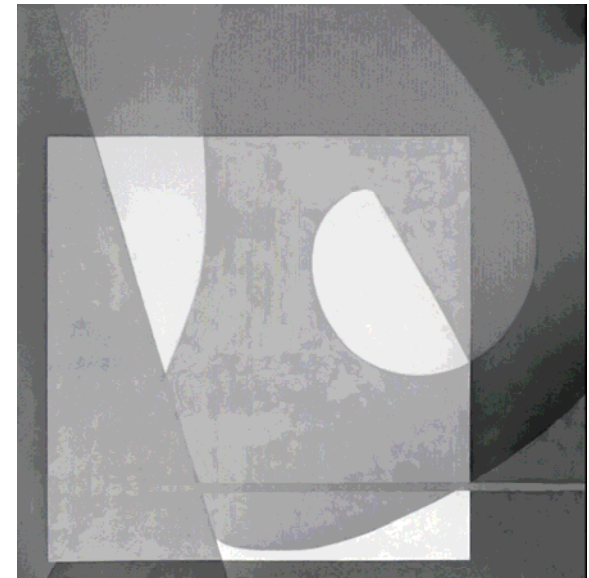
**Input image**



**Gradient field**



**Grayscale image**



→  
**CIE Lab formula/  
COLOROID formula**

→  
**Inconsistency correction  
and direct 2D integration**



# A new CIE Lab based gradient formula

- CIE Lab space is *approximately* uniform
  - L,a,b unit vectors build orthonormal basis
  - Opponent color channels
- The chrominance changes have smaller importance than luminance gradients
  - **GRAY GRADIENT ( $\Delta$ )  $\neq$  signed COLOR DIFFERENCE**
- $$\Delta = ([\Delta L]^p + [\Delta A]^p + [\Delta B]^p)^{1/p}$$
  - $\Delta A = \mathbf{w}_a \cdot \Delta a$ ,  $\Delta B = \mathbf{w}_b \cdot \Delta b$ , **weights are in [0.3...0.6]**
  - $p = 2 \dots 4$ , and  $[\Delta x]^q = \text{sign}(\Delta x) \cdot (\text{abs}(\Delta x))^q$ ,  $q = p$  or  $1/p$
  - **luminance OR chrominance** (max norm,  $p = \infty$ ) approach results in big gradients, and a strongly non-consistent gradient field

# A classical test image

## Gooch et al. – 2005



Sunrise: color



CIE-Y gray (real time)



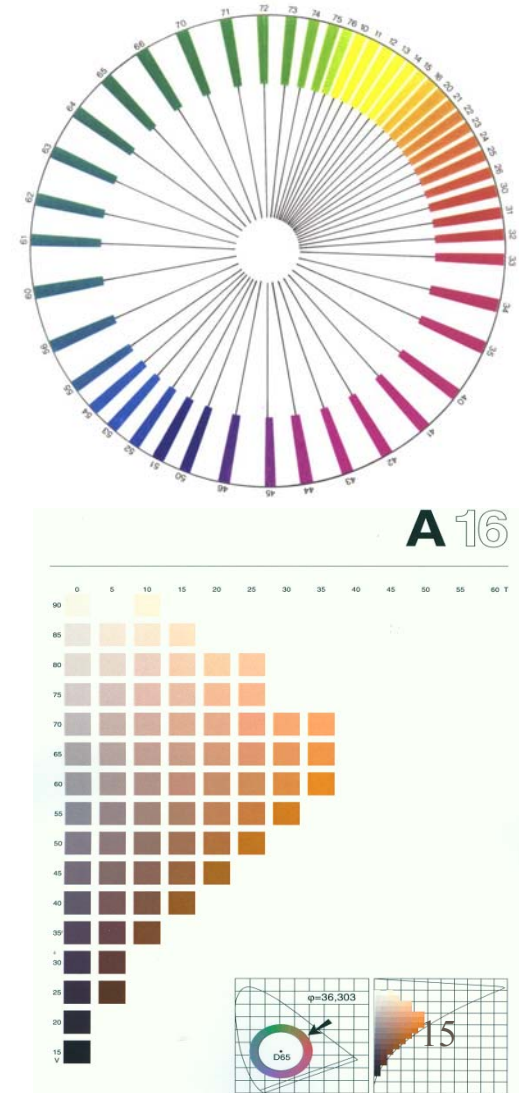
Gooch et al. 2005 (150 sec)



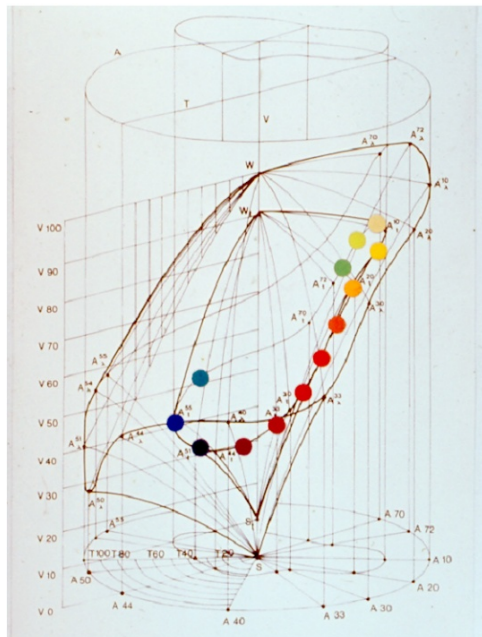
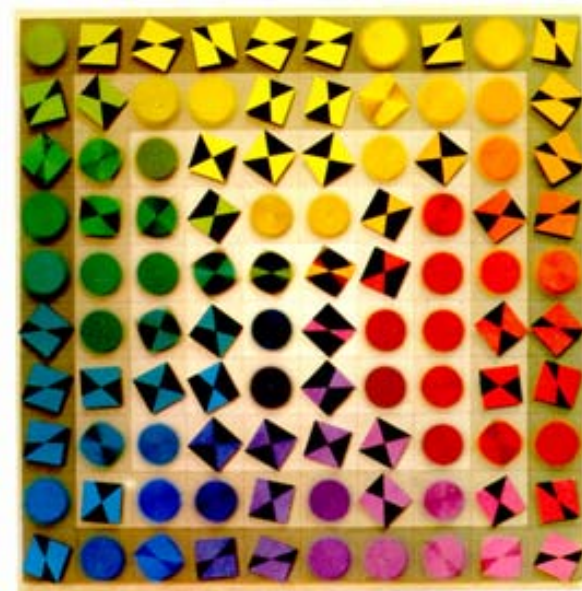
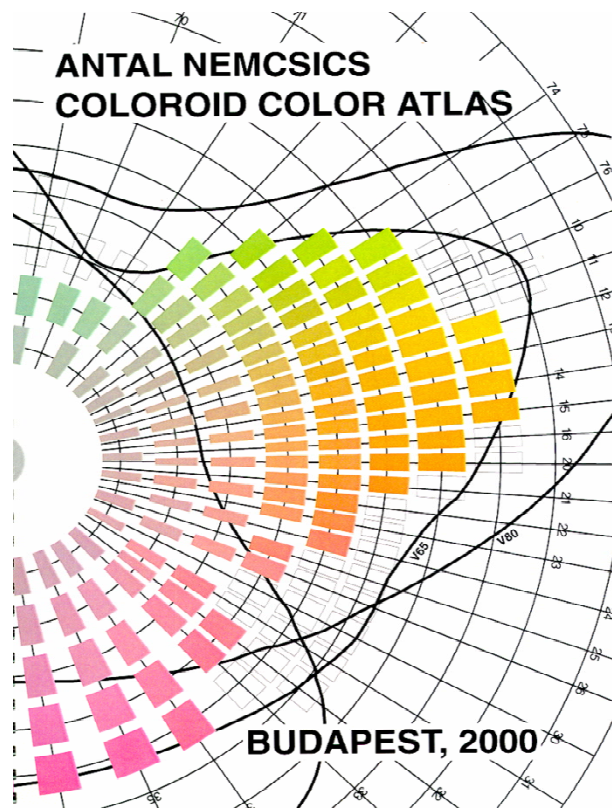
Our new method (0.3 sec, fine details)

# The COLOROID System (since 1962)

- **COLOROID *color-order system and color space***
- Based approx. **80.000** observers and **26 millions** elementary observations/decisions – unique number in coloristics
  - **Semi-adapted eye** (adaptation field: 1800 lux)
  - **Wide view-field observation**
  - **Simultaneous observation of a set of colors according to 'real-life' view-conditions**
- Simple and practical tool to describe aesthetical relationships
- Basis for computational color harmony



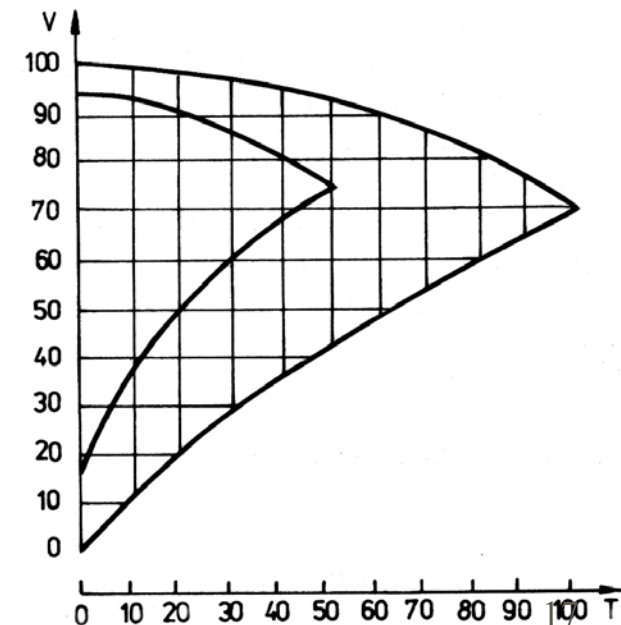






# 3 'axioms' of COLOROID

- Constant hues (**A**) form **planes** (!)
  - containing the neutral axis and a hue dependent limit-color ( $\lambda$ )
  - differently from most of other systems with curved surfaces, like e.g. Munsell
- Saturation (**T**) =  $\text{const}_A \cdot \text{ratio of the limit-color}$ 
  - $\text{const}_A$  depends on hue
  - additive mixture of black, white and limit-color
- Lightness (**V**) =  $10 \cdot Y^{1/2}$ 
  - not 3<sup>rd</sup> root or log, like in ds line-element based spaces



# COLOROID based gradient formula

- Some attributes of the gray-equivalent gradient can be observed using the COLOROID experimental tools
  - Saturation (for constant hue and lightness)
  - Hue difference term of  $H(A_1, A_2)$  for medium saturated samples with medium lightness
- The gamut contains non-expected warpings
  - E.g. for bright turquoise uniform saturation series the  $\Delta$ -gray values are **1, 2, 4, 0, -5** NON MONOTONOUS !
- The chrominance term has around 0.3 - 0.5-times less importance than in the color difference formulas

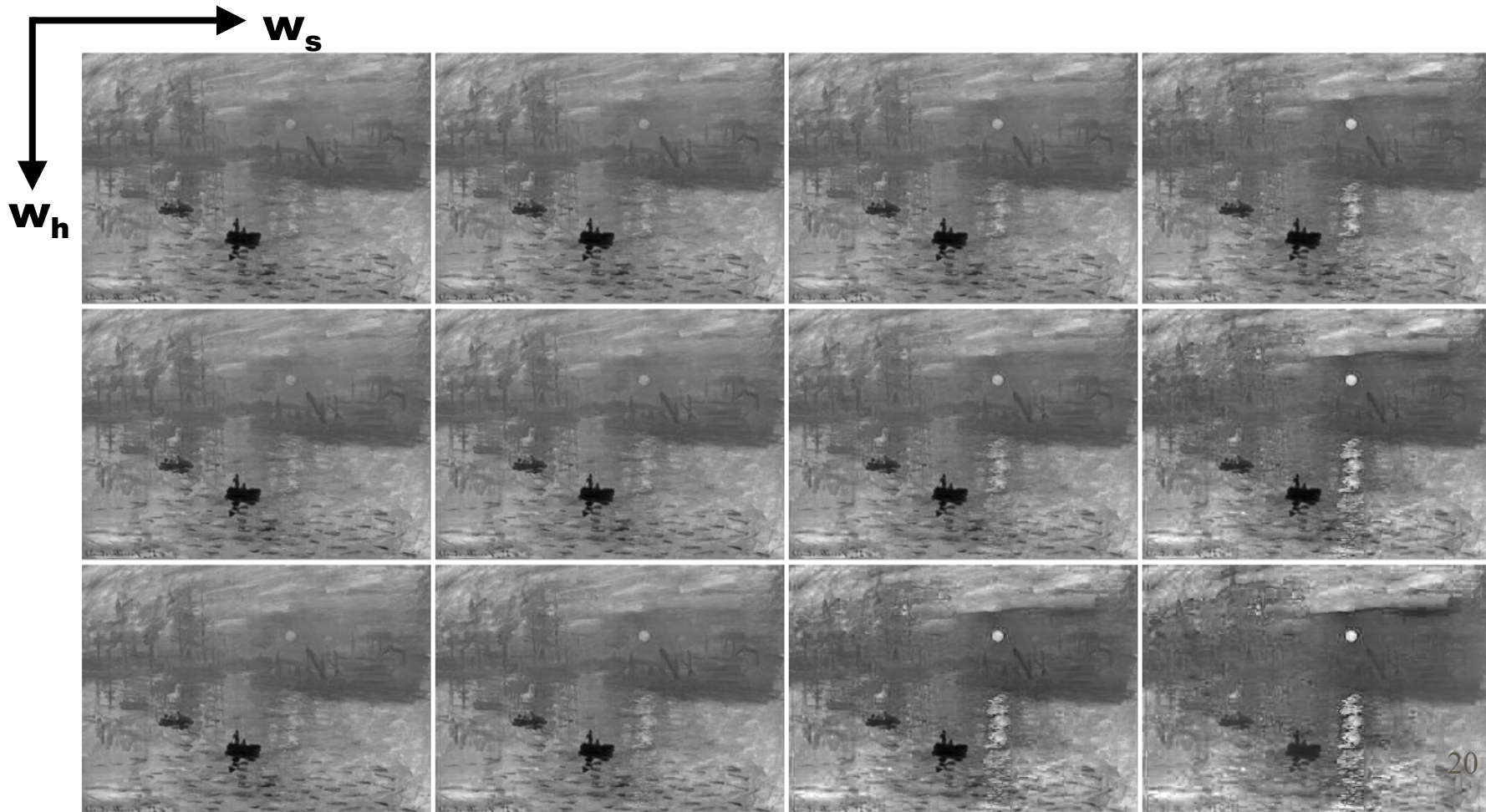
# COLOROID based gradient formula

■  $\Delta_{1,2} = dL (L_1, L_2) +$  (luminance)  
 $dS (A_1, T_1, V_1, A_2, T_2, V_2) +$  (saturation)  
 $dh (A_1, T_1, A_2, T_2)$  (hue term)

- $dL = L_2 - L_1$
- $dS = w_s \cdot [S(A_2, T_2, V_2) - S(A_1, T_1, V_1)]$
- $dh = w_h \cdot H(A_1, A_2) \cdot [u(T_{1rel}) \cdot u(T_{2rel})]^{1/2}$
- If one of the two saturations = 0, than the hue term = 0.
- But also for opponent hues  $dS \neq 0$
- S and H functions are given by *tables and interpolation rules*

# Non-Perceptual Approach Emphasized Effects

- 4 saturation \* 3 hue parameter pairs
  - Perceptually pleasant - second row, third column





# Inconsistent Gradient Field (GF)

- **Inconsistency for 4 - pixel quadrats**

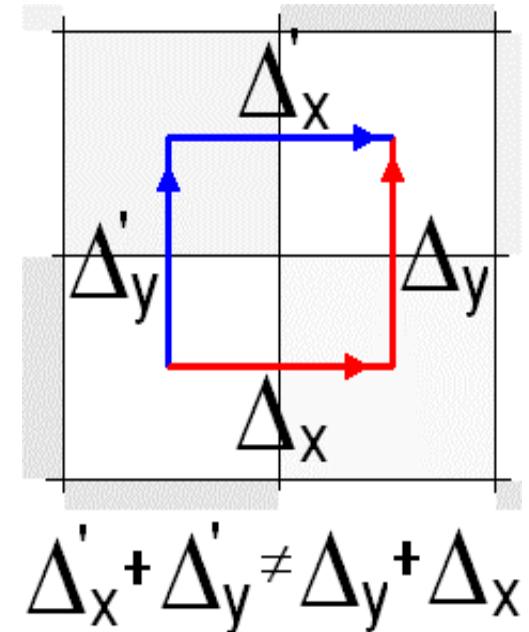
$$g_x(i,j) + g_y(i+1,j) \neq g_y(i,j) + g_x(i,j+1)$$

- **An inconsistent GF does not  
define an image unambiguously**

- There are only different approximations to find  
an image with a similar gradient field

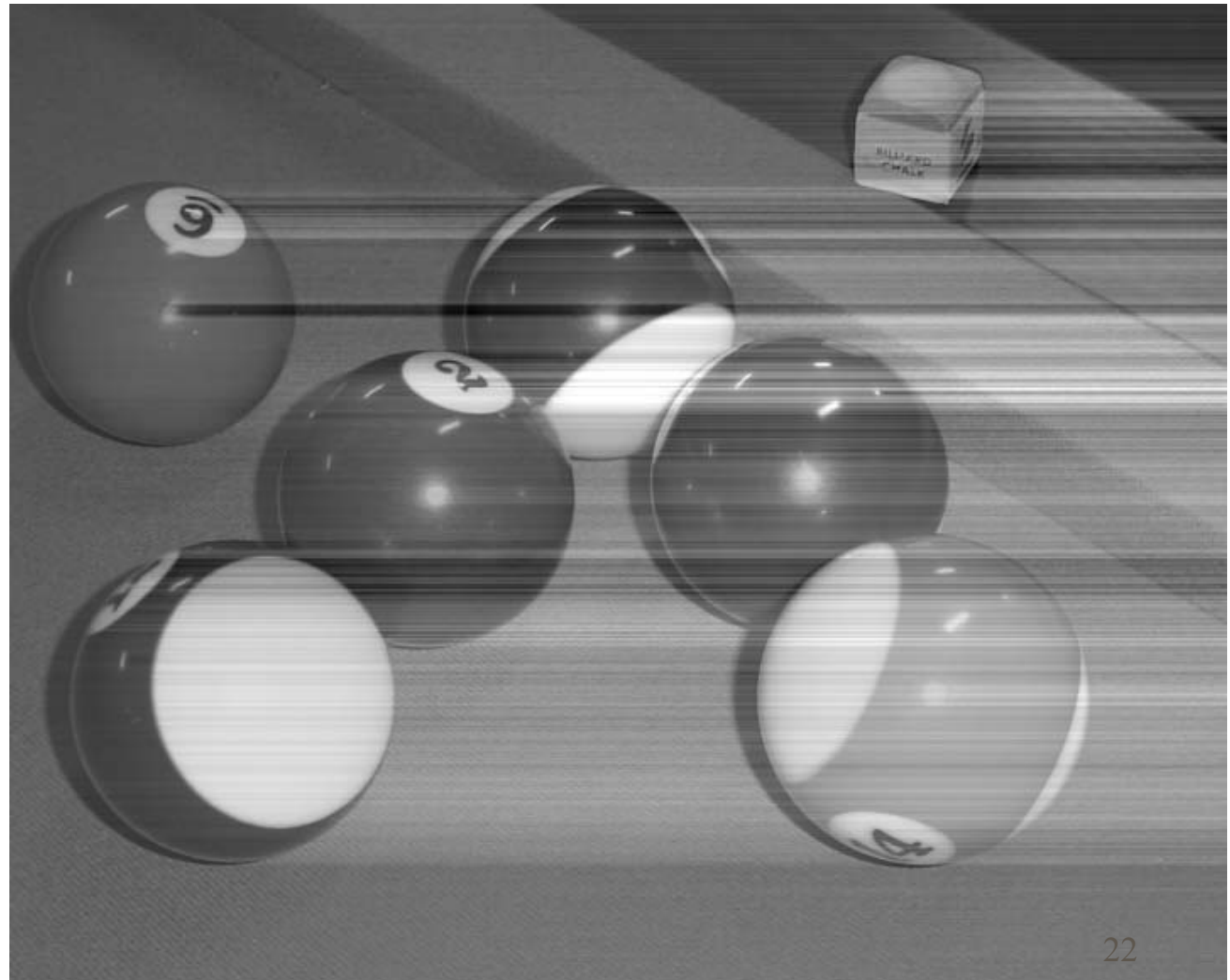
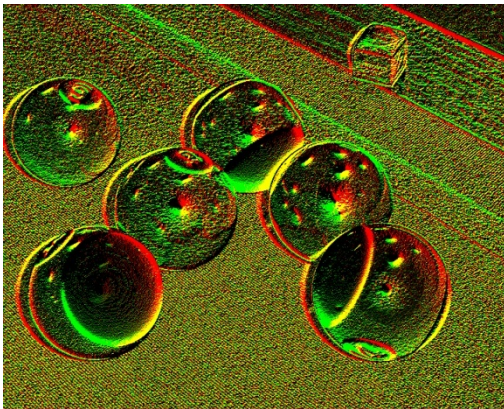
- **GF-inconsistency correction method**

Neumann&Neumann, CAe2005, Girona



# Inconsistent Gradient Field

- **Direct 2D integration**



# New solution technique: Correction of GF inconsistency

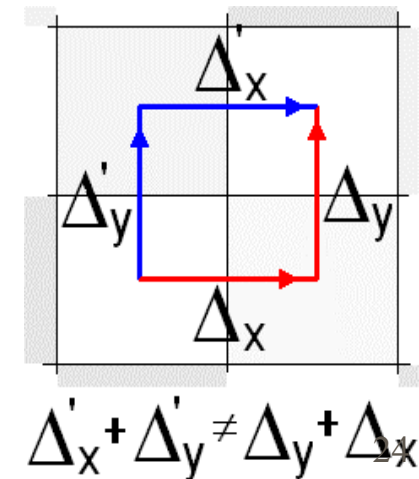
- All of earlier methods work with the pixel- unknowns of the image (**u**)
- It is possible to modify the GF and find the **nearest consistent gradient field** (a really GF approach, the solution is also in the GF)
- Knowing a consistent GF: direct integration with  
'1 addition pro pixel' cost
- Number of unknowns: x and y **gradient components**
$$Y*(X-1) + X*(Y-1) \approx 2 * X * Y$$
- Number of equations is:  $(X-1)*(Y-1) \approx X * Y$ 
  - Dimension of the consistent GF subspace is apprx. two-times smaller than the dimension of the inconsistent GFs.

# New solution technique: Correction of GF inconsistency

- Orthogonal Projection from the starting inconsistent GF to the **NEAREST POINT of linear subspace of the consistent GFs**
- $g_x(i,j) + g_y(i+1,j) - g_y(i,j) - g_x(i,j+1) = E_{ij} \neq 0$   
 $\mathbf{N}_{ij} = (0, \dots, 0, +1, +1, -1, -1, 0, \dots, 0)$

One row of the eq. is formally:  $\mathbf{N}_{ij} \mathbf{g} = E_{ij}$ , for consistent GF:  $\mathbf{N}_{ij} \mathbf{g} = 0$

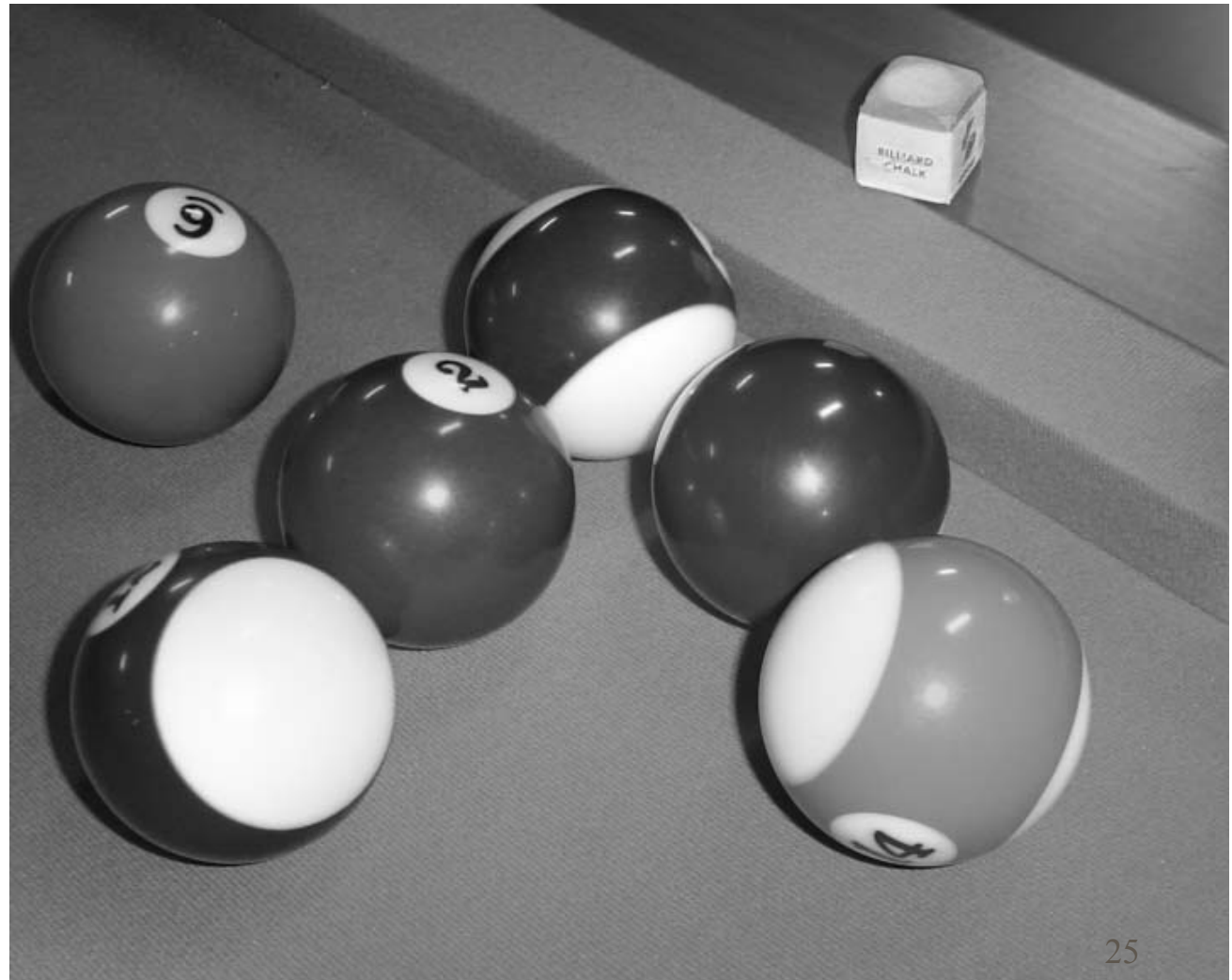
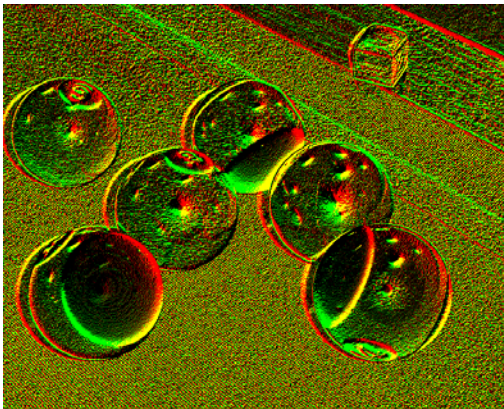
- The (over)projection step, cyclically or with max- $E_{ij}$  selection until the max  $E_{ij} < \text{eps}$ 
  - $\mathbf{g}_{\text{new}} = \mathbf{g} - \frac{1}{4} * s * E_{ij} \cdot \mathbf{N}_{ij}$
  - $g_x(i,j) := g_x(i,j) - \frac{1}{4} * s * E_{ij}$
  - $g_y(i,j) := g_y(i,j) + \frac{1}{4} * s * E_{ij}$
  - $0 < s < 2$ , recommended  $s = 1.5 \dots 1.8$





# New solution technique: Correction of GF inconsistency

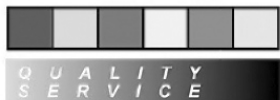
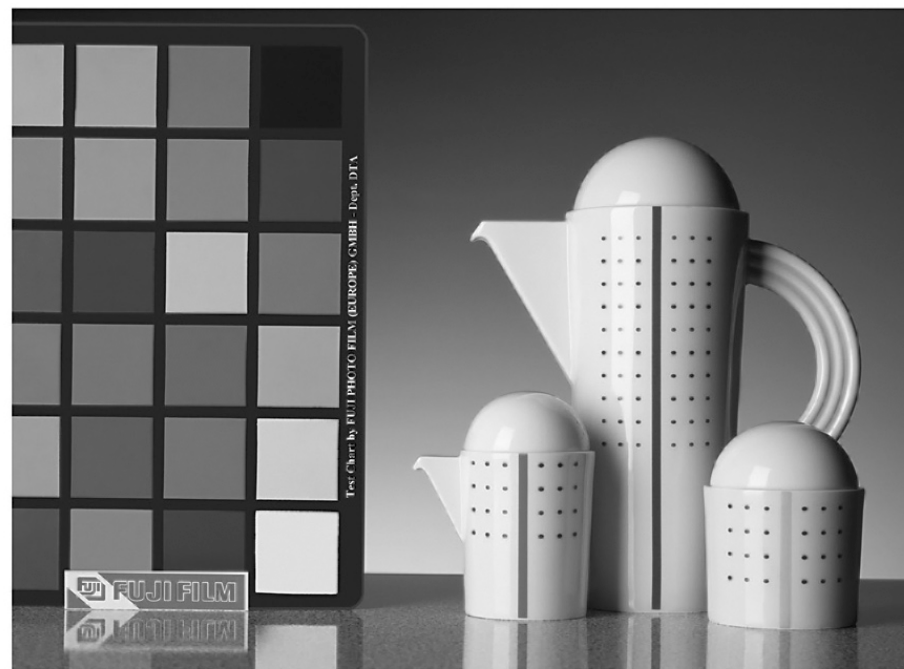
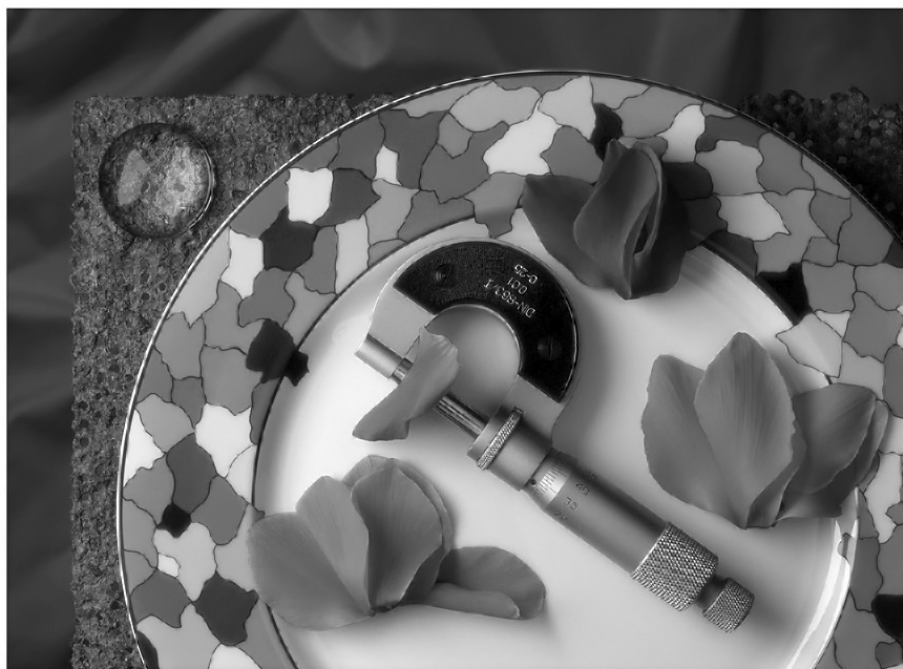
- **Direct 2D  
integration**



# Color Test Image



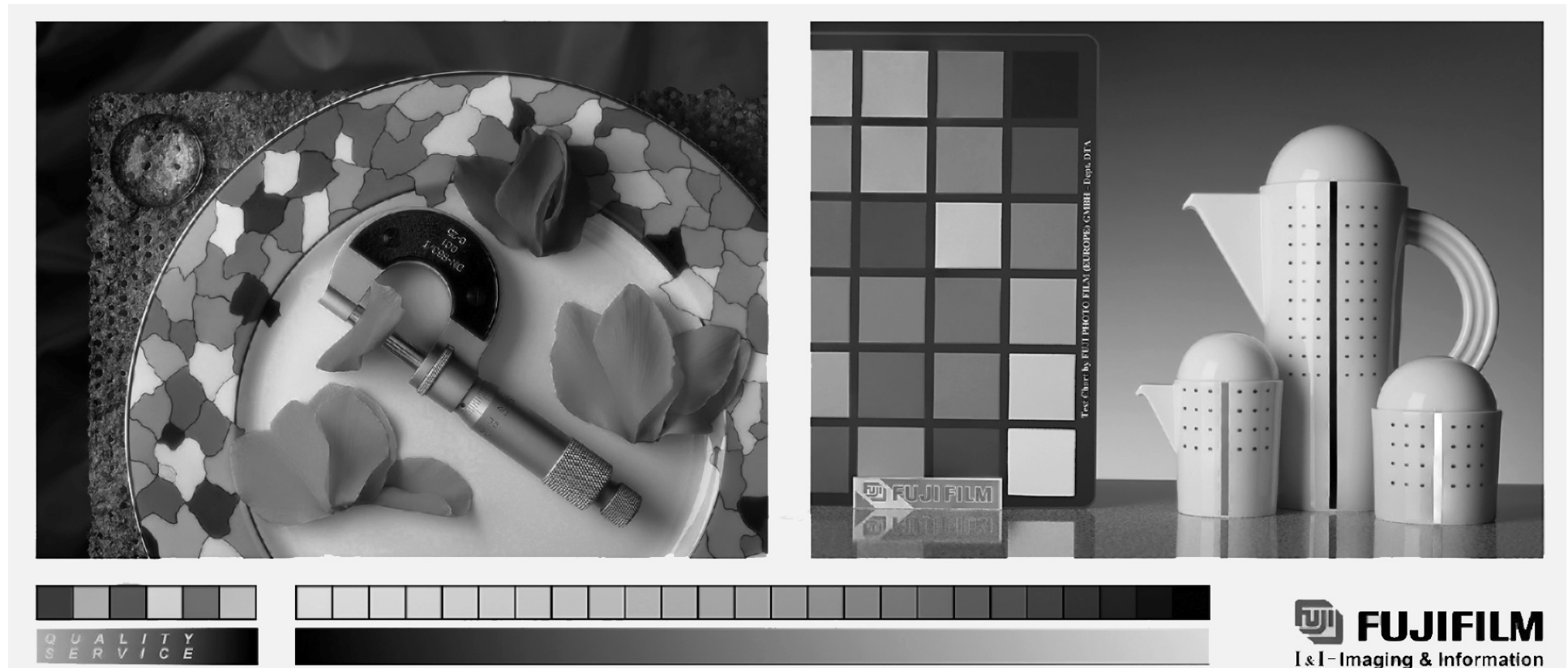
# CIE-Y luminance



**FUJIFILM**  
I&I- Imaging & Information



# Adaptive COLOROID based method



# Conclusion, Future Work



- + perceptually based color to grayscale transformation
- ++ new formulas for grad computation
  - CIE Lab based
  - COLOROID based perceptual approach
- + Gradient-inconsistency correction method very efficient
- ++ Simple iteration and the 2D integration leads to the image
- - Further reserch of fine structure of COLOROID gradient formula
  - dark, white, and near to gray-axis regions
- - Implementation of the real-time multiresolution projection method for the Color2Gray



# Original Color Image



# CIE-Y luminance



# Adaptive COLOROID based method



# Questions ?

## An Efficient Perception-Based Adaptive Color to Gray Transformation

[http://www.cgg.cvut.cz/~cadikm/color\\_to\\_gray/](http://www.cgg.cvut.cz/~cadikm/color_to_gray/)

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